Form 5500

Department of the Treasury
Internal Revenue Service

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110
1210-0089

2016

This Form is Open to Public Inspection

Part I  Annual Report Identification Information

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ___

B This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. ......................................................... ..............................

D Check box if filing under:
- [ ] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

Part II  Basic Plan Information—enter all requested information

1a Name of plan
SANDIA ACCIDENT/BUSINESS TRAVEL ACCIDENT INSURANCE PLAN FOR NON-REPRESENTED EMPLOYEES

1b Three-digit plan number (PN)  508

1c Effective date of plan
04/29/1971

2a Plan sponsor’s name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
NATIONAL TECHNOLOGY & ENGINEERING SOLUTIONS OF SANDIA, LLC

PO BOX 5800, MAIL STOP 1302
ALBUQUERQUE, NM 87185-1302

2b Employer Identification Number (EIN)
85-0097942

2c Plan Sponsor’s telephone number
505-845-9222

2d Business code (see instructions)  541700

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE

Filed with authorized/valid electronic signature.  10/11/2017  TIMOTHY C. KNEWITZ

Signature of plan administrator  Date  Enter name of individual signing as plan administrator

SIGN HERE

Signature of employer/plan sponsor  Date  Enter name of individual signing as employer or plan sponsor

SIGN HERE

Signature of DFE  Date  Enter name of individual signing as DFE

Preparer’s name (including firm name, if applicable) and address (include room or suite number)

Preparer’s telephone number

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2016)  v. 160205
3a Plan administrator's name and address

EMPLOYEE BENEFITS COMMITTEE OF NTMESS
PO BOX 5800, MS 1022
ALBUQUERQUE, NM 87185-1022

3b Administrator's EIN
85-0097942

3c Administrator's telephone number
505-284-1800

4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:

4b EIN
85-0097942

4c PN
508

5 Total number of participants at the beginning of the plan year

6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1), 6a(2), 6b, 6c, and 6d).

6a(1) Total number of active participants at the beginning of the plan year

6a(2) Total number of active participants at the end of the plan year

6b Retired or separated participants receiving benefits

6c Other retired or separated participants entitled to future benefits

6d Subtotal. Add lines 6a(2), 6b, and 6c

6e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits

6f Total. Add lines 6d and 6e

6g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)

6h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested

7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)...

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

8b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

(1) ☐ Insurance
(2) ☑ Code section 412(e)(3) insurance contracts
(3) ☐ Trust
(4) ☐ General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

(1) ☐ Insurance
(2) ☑ Code section 412(e)(3) insurance contracts
(3) ☐ Trust
(4) ☐ General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

10a Pension Schedules

(1) ☐ R (Retirement Plan Information)
(2) ☑ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
(3) ☑ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

10b General Schedules

(1) ☐ H (Financial Information)
(2) ☑ I (Financial Information – Small Plan)
(3) ☑ A (Insurance Information)
(4) ☑ C (Service Provider Information)
(5) ☑ D (DFE/Participating Plan Information)
(6) ☑ G (Financial Transaction Schedules)
Part III  Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ........................………..….
Yes ☐ No ☐

If “Yes” is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ............ ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code __________________________
SCHEDULE A
(Form 5500)

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

- File as an attachment to Form 5500.
- Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
SANDIA ACCIDENT/BUSINESS TRAVEL ACCIDENT INSURANCE PLAN FOR NON-REPRESENTED EMPLOYEES

B Three-digit plan number (PN)
508

C Plan sponsor’s name as shown on line 2a of Form 5500
NATIONAL TECHNOLOGY & ENGINEERING SOLUTIONS OF SANDIA, LLC

D Employer Identification Number (EIN)
85-0097942

Part I
Information Concerning Insurance Contract Coverage, Fees, and Commissions

Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
AXIS INSURANCE COMPANY

<table>
<thead>
<tr>
<th>(b) EIN</th>
<th>(c) NAIC code</th>
<th>(d) Contract or identification number</th>
<th>(e) Approximate number of persons covered at end of policy or contract year</th>
<th>Policy or contract year</th>
</tr>
</thead>
<tbody>
<tr>
<td>39-1338397</td>
<td>37273</td>
<td>AH001903860000U</td>
<td>11194</td>
<td>01/01/2016-12/31/2016</td>
</tr>
</tbody>
</table>

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

<table>
<thead>
<tr>
<th>(a) Total amount of commissions paid</th>
<th>(b) Total amount of fees paid</th>
</tr>
</thead>
<tbody>
<tr>
<td>28948</td>
<td>0</td>
</tr>
</tbody>
</table>

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
MERCER HEALTH & BENEFITS, LLC
1166 AVENUE OF THE AMERICAS
NEW YORK, NY 10036

<table>
<thead>
<tr>
<th>(b) Amount of sales and base commissions paid</th>
<th>(c) Amount</th>
<th>(d) Purpose</th>
<th>(e) Organization code</th>
</tr>
</thead>
<tbody>
<tr>
<td>28948</td>
<td></td>
<td></td>
<td>3</td>
</tr>
</tbody>
</table>

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.
<table>
<thead>
<tr>
<th>(b) Amount of sales and base commissions paid</th>
<th>(c) Amount</th>
<th>(d) Purpose</th>
<th>(e) Organization code</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid</td>
<td></td>
<td></td>
<td></td>
</tr>
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<td></td>
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</tr>
<tr>
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<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Part II: Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>Current value of plan’s interest under this contract in the general account at year end ...........................................</td>
</tr>
<tr>
<td>5</td>
<td>Current value of plan’s interest under this contract in separate accounts at year end ...........................................</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>6</th>
<th>Contracts With Allocated Funds:</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>State the basis of premium rates ◀</td>
</tr>
<tr>
<td>b</td>
<td>Premiums paid to carrier ..................................................................................................................</td>
</tr>
<tr>
<td>c</td>
<td>Premiums due but unpaid at the end of the year ................................................................................</td>
</tr>
<tr>
<td>d</td>
<td>If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ◀</td>
</tr>
<tr>
<td>e</td>
<td>Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity (3) ☐ other (specify) ◀</td>
</tr>
<tr>
<td>f</td>
<td>If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ◀</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>7</th>
<th>Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee (3) ☐ guaranteed investment (4) ☐ other ◀</td>
</tr>
<tr>
<td>b</td>
<td>Balance at the end of the previous year ..........................................................................................</td>
</tr>
<tr>
<td>c</td>
<td>Additions: (1) Contributions deposited during the year .................................................................</td>
</tr>
<tr>
<td></td>
<td>(2) Dividends and credits .................................................................................................................</td>
</tr>
<tr>
<td></td>
<td>(3) Interest credited during the year ...............................................................................................</td>
</tr>
<tr>
<td></td>
<td>(4) Transferred from separate account ..........................................................................................</td>
</tr>
<tr>
<td></td>
<td>(5) Other (specify below) ...............................................................................................................</td>
</tr>
<tr>
<td></td>
<td>(6) Total additions .........................................................................................................................</td>
</tr>
<tr>
<td>d</td>
<td>Total of balance and additions (add lines 7b and 7c(6)) ...............................................................</td>
</tr>
<tr>
<td>e</td>
<td>Deductions:</td>
</tr>
<tr>
<td></td>
<td>(1) Disbursed from fund to pay benefits or purchase annuities during year .....................................</td>
</tr>
<tr>
<td></td>
<td>(2) Administration charge made by carrier .....................................................................................</td>
</tr>
<tr>
<td></td>
<td>(3) Transferred to separate account ...............................................................................................</td>
</tr>
<tr>
<td></td>
<td>(4) Other (specify below) ...............................................................................................................</td>
</tr>
<tr>
<td></td>
<td>(5) Total deductions .......................................................................................................................</td>
</tr>
<tr>
<td>f</td>
<td>Balance at the end of the current year (subtract line 7e(5) from line 7d) ........................................</td>
</tr>
</tbody>
</table>
Part III  Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

   a ☑ Health (other than dental or vision)  b ☑ Dental  c ☑ Vision  d ☑ Life insurance
   e ☑ Temporary disability (accident and sickness)  f ☑ Long-term disability  g ☑ Supplemental unemployment  h ☑ Prescription drug
   i ☑ Stop loss (large deductible)  j ☑ HMO contract  k ☑ PPO contract  l ☑ Indemnity contract
   m ☑ Other (specify)  ▶ BLANKET ACCIDENT POLICY

9 Experience-rated contracts:
   a Premiums: (1) Amount received ............................................. 9a(1)
       (2) Increase (decrease) in amount due but unpaid ..................... 9a(2)
       (3) Increase (decrease) in unearned premium reserve ................. 9a(3)
       (4) Earned ((1) + (2) - (3)) .............................................. 9a(4)
   b Benefit charges (1) Claims paid ........................................... 9b(1)
       (2) Increase (decrease) in claim reserves ............................... 9b(2)
       (3) Incurred claims (add (1) and (2)) .................................... 9b(3)
       (4) Claims charged ....................................................... 9b(4)
   c Remainder of premium: (1) Retention charges (on an accrual basis) --
       (A) Commissions ................................................................ 9c(1)(A)
       (B) Administrative service or other fees ............................... 9c(1)(B)
       (C) Other specific acquisition costs .................................... 9c(1)(C)
       (D) Other expenses ............................................................. 9c(1)(D)
       (E) Taxes .......................................................................... 9c(1)(E)
       (F) Charges for risks or other contingencies ............................ 9c(1)(F)
       (G) Other retention charges .................................................. 9c(1)(G)
       (H) Total retention .............................................................. 9c(1)(H)
   d Dividends or retroactive rate refunds. (These amounts were ☑ paid in cash, or ☑ credited.) ........................... 9c(2)
   e Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement .......... 9d(1)
       (2) Claim reserves ............................................................... 9d(2)
       (3) Other reserves ............................................................... 9d(3)
   f Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2)) ..................... 9e

10 Noneexperience-rated contracts:

   a Total premiums or subscription charges paid to carrier ......................................................... 10a  144740
   b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount .............................. 10b

   Specify nature of costs.

Part IV  Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? .......... ☑ Yes ☑ No

12 If the answer to line 11 is “Yes,” specify the information not provided. ▶